



7-20-05

AF/2834  
IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** DONALD GILBERT CARPENTER    **Art Unit:** 2834  
**Serial No.:** 09/935,936  
**Filed:** August 23, 2001  
**For:** Energy Conversion Technique    **Examiner:** Nicolas Ponomarenko

**RESPONSE TO NOTIFICATION OF NON-COMPLIANCE**  
**WITH THE REQUIREMENTS OF 37 CFR 41.37(c) [sic]**

Honorable Commissioner of Patents and Trademarks  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Detailed Action mailed from the United States Patent and Trademark Office on July 5, 2005, titled "Notification of Non-Compliance with the Requirements of 37 CFR 41.37(c) [sic]" in connection with the above-identified patent application.

The text of the detailed action, moreover, states that applicant's brief does not satisfy the requirements of 37 CFR 41.37(c)(1); 37 CFR 41.37(c)(1)(i); and 37 CFR 41.37(c)(1)(ii) [sic].

Applicant's copy of the United States Code of Federal Regulation; Title 37 does not list any of 37 CFR 41.37(c); 37 CFR 41.37(c)(1)(i); and 37 CFR 41.37(c)(1)(ii) [sic]. A copy of the Table of Contents for Title 37 confirming the foregoing statement is attached to this response (Exhibit A).

How can applicant comply with the requirements of a Federal Regulation that does not exist?

Nevertheless, to advance this matter to the Board of Patent Appeals and Interferences, it appears that the gravamen of the alleged non-compliance may refer to 37 CFR ¶1.192(c)(1) and (2). The "brief" in question, moreover, is applicant's Appeal Brief that was filed on January 7, 2004, and is not either or both of the two Supplemental Briefs filed in this matter on June 29, 2004, and January 13, 2005, respectively, otherwise these Supplemental Briefs would have been identified as such in the Detailed Action under consideration. The provisions of ¶1.192(c)(1) and (2) require that the applicant's brief shall, under appropriate headings, identify the real party in interest [37 CFR ¶1.192(c)(1)] and any related appeals and interferences [37 CFR ¶1.192(c)(2)].

Attention in this respect is invited to the attached copy of page 1 of applicant's Appeal Brief filed January 7, 2004 (Exhibit B), the relevant portions of which are quoted below:

(1) Real Party in Interest

The applicant named in the caption of this Brief, DONALD GILBERT CARPENTER, is the real party in interest.

(2) Related Appeals and Interferences:

None.

Applicant's January 7, 2004, Appeal Brief, it is urged conforms in all respects with the terms of 37 CFR ¶1.192(c)(1) and (2).

The First and Second Supplemental Appeal Briefs, filed by applicant on June 29, 2004, and January 13, 2005, it should be noted are supplemental to the January 7, 2004, Appeal Brief and are not substitutes for the January 7, 2004, Appeal Brief. As such, and quite properly, these two supplemental briefs address themselves specifically to the issues raised in the respective Office communications dated June 7, 2004, and September 15, 2004, and do not duplicate matter already of record in the January 7 Appeal Brief.

Turning now to the "Response to Amendment" on page 2 of the Detailed Action, it seems that these comments are directed to the last amendment that applicant filed in this case on March 7, 2003, in which applicant submitted a Declaration under Rule 132.

The objection to the declaration is:

Personal declaration on the merits of the invention by the inventor has been considered and found moot.

"...and found moot." What can that phrase mean?

One dictionary definition of the term "moot" is "...not worthy of consideration or discussion because it has been resolved or no longer needs to be resolved" (Exhibit C).

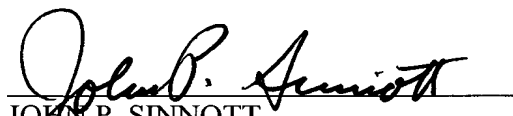
Search the record of this prosecution and nowhere can be found any resolution or attempt at a resolution by the Examiner of the data presented in applicant's Rule 132 Declaration.

The failure further in the detailed action to consider applicant's Rule 132 Declaration as "evidence" and the citation of Manual of Patent Examining Procedure (MPEP) ¶608.03 in support thereof is equally puzzling. Nowhere in MPEP ¶608.03 is the word "evidence" even mentioned. At best, applicant can only note the Examiner's comment.

In summary, applicant once more respectfully requests that the Examiner properly initial applicant's Information Disclosure Statement (filed March 7, 2003); and either allow claims 1 through 8 now standing in the case, or issue an Answer to the Appeal Brief filed January 7, 2004, and the two subsequently filed Supplemental Appeal Briefs and forward this case, which has been on file for more than four years, to the Board of Patent Appeals and Interferences without further delay.

Respectfully submitted,

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# United States Code of Federal Regulations

## Title 37 - Patents, Trademarks and Copyrights

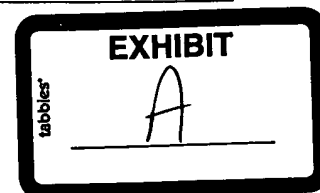
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**For:** Energy Conversion Technique      **Examiner:** Nicolas Ponomarenko

**Appeal Brief**

Honorable Commissioner of Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith, in triplicate, is applicant's Brief on Appeal in the above-identified matter.

**(1) Real Party in Interest:**

The applicant named in the caption of this Brief, DONALD GILBERT CARPENTER, is the real party in interest.

**(2) Related appeals and Interferences:**

None.

**(3) Status of Claims:**

Claims 1 through 8, inclusive, are pending in this application. No claims have been cancelled and pending claims 1 through 8, inclusive, are on appeal.

**(4) Status of Amendments Filed Subsequent to Final Rejection:**

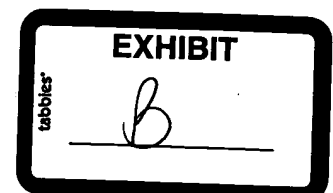
None.

**(5) Summary of Invention:**

As illustrated in Fig. 3, apparatus for converting kinetic energy into electrical energy has a first moving system 21 and a second moving system 22 (Specification, p. 18, para. 73, lines 21 to 25). The second moving system 22 moves toward and away from the first moving system 21 (Specification, p. 18, para. 73, line 25 to p. 19, line 5).

Objects 46, 47 are ejected electromagnetically by ejectors 38, 40 from respective openings 30, 32 in face 25 of the moving system 21 in the direction of arrow 48 (Specification, p. 19, para. 74, lines 6 to 14). The openings 30, 32, in the face of the first moving system, are aligned with receptor openings 35, 37 (Fig. 5) in the second moving system 22 (Specification, p. 17, Para. 74, lines 17 to 21). The objects 46, 47 (Fig. 1) are magnetized (specification, p. 18, para. 76, lines 20 to 25). The openings 35, 37 in the second moving system 22, moreover, house respective receptor conductive coils (only receptor coils 43, 44 are shown in the drawing) for converting the kinetic energy of the individual incoming objects 46, 47 into electrical energy (Specification, p. 17, para. 74, lines 9 to 21).

Appeal Brief





# WEBSTER'S NEW WORLD<sup>TM</sup> COLLEGE DICTIONARY

Fourth Edition

Michael Agnes  
EDITOR IN CHIEF



EXHIBIT

tabbles

C

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American Sign La

of a group from this people that invaded and occupied Spain in the 8th cent. A.D. — **Moor-ish** *adj.*

**moorage** (moor'ij) *n.* 1 a mooring or being moored 2 a place for mooring 3 a charge for the use of such a place

**moor-cock** (-kāk') *n.* [Brit.] the male moorhen, or red grouse

**Moore** (moor) 1 **George** (Edward) 1873-1958; Eng. philosopher 2 **George** (Augustus) 1852-1933; Ir. novelist, playwright, & critic 3 **Henry** 1898-1986; Eng. sculptor 4 **Marianne** (Craig) 1887-1972; U.S. poet 5 **Thomas** 1779-1852; Ir. poet

**moor-fowl** (moor'foul') *n.* [Brit.] RED GROUSE

**moor-hen** (-hen') *n.* 1 [Brit.] the female moorhen, or red grouse 2 the nearly worldwide common gallinule (*Gallinula chloropus*)

**mooring** (moor'in) *n.* 1 the act of a person or thing that moors 2 [often pl.] the lines, cables, etc. by which a ship, etc. is moored 3 [pl.] a place where a ship, etc. is or can be moored 4 [often pl.] beliefs, habits, ties, etc. that make one feel secure

**moor-land** (-land') *n.* [Brit.] MOOR

\***moose** (mōs) *n., pl. moose* [*<* Eastern Abenaki *mos*] a deer (*Alces alces*) of N regions, the male of which has huge spatulate antlers and weighs up to 815 kg (c. 1,800 lb); it is the largest of the deer family: see also ELK (sense 1)

**moose-bird** (mōs'bird') *n.* [Cdn.] CANADA JAY

**Moose-head Lake** (mōs'hed') [transl. of Amlind name] lake in WC Me.: 117 sq mi (303 sq km)

**moo shu pork** (mō' shōō pōrk') a Chinese dish made of shredded pork and vegetables and beaten eggs, sautéed and usually served in a crepe with hoisin sauce

**moot** (moot) *n.* [ME *mote* *<* OE *mot*, *gemot*, a meeting & prob. ON *mot* *<* Gmc base \**mot-* Goth *gamotjan*, to meet] 1 an early English assembly of freemen to administer justice, decide community problems, etc. 2 a discussion or argument, esp. of a hypothetical law case, as in a law school — *adj.* 1 subject to or open for discussion or debate; debatable 2 not worthy of consideration or discussion because it has been resolved or no longer needs to be resolved — *vt.* 1 to debate or discuss 2 to propose or bring up for discussion or debate 3 to make so hypothetical as to deprive of significance; make academic or theoretical

**moot court** a mock court in which hypothetical cases are argued, usually as an academic exercise for law students

**mop** (māp) *n.* [Early ModE *mappe*, naut. term *<*? Walloon *mappe* *<* L *mappa*, napkin; see MAP] 1 a bundle of loose rags, yarns, a sponge, etc. fastened to the end of a stick; as for washing or wiping floors 2 anything suggestive of this, as a thick head of hair — *vt.* mopped, mop'ping to wash, rub, wipe, or remove with or as with a mop — **mop up** 1 [Informal] a) to bring or come to an end; finish b) to defeat completely 2 Mil. to clear out or round up isolated or scattered remnants of beaten enemy forces from (a town, battle area, etc.) — **mop (up) the floor with** [Slang] to defeat decisively — **mop'per** *n.*

**mop** (māp) *n., vi.* mopped, mop'ping [*<* or akin to MDu *moppen*, MHG *muffen*, to grimace: for base see MOPE] [Archaic] GRIMACE

\***mopboard** (māp'bōrd') *n.* BASEBOARD

**mope** (mōp) *vi.* moped, mop'ing [akin to MDu *mopen*, Swed. dial. *mopa* *<* IE base \**mu-*, echoic of sound made with tightly closed lips *>* MUTTER, L *mutus*] to be gloomy, dull, apathetic, and dispirited — *vt.* 1 to make gloomy, dull, etc.: used reflexively and in the passive 2 to pass in gloom, dullness, etc.: with *away* — *n.* 1 a person who mopes, or is inclined to mope 2 [pl.] low spirits — **mop'er** *n.* — **mop'ey** *adj.*, **mop'y**, or **mop'ish** — **mop'ishly** *adv.*

**moped** (mō'ped') *n.* [Ger *<* *motorisiertes* *ped(al)*, lit., motorized pedal] a bicycle propelled by a small motor

**mop-pet** (māp'it) *n.* [dim. of ME *moppe*, rag doll *<*?] [Informal] a little child: a term of affection

**mop-up** (māp'up') *n.* a clearing out or rounding up of scattered remnants of beaten enemy forces in an area

**moquette** (mō'ket') *n.* [Fr.] a kind of carpet or upholstery fabric with a thick, soft, napped surface

**mor** (mōr) *n.* [Dan, humus *<* or akin to ON *morth*, a quantity, mass *<* IE base \**mer-*, to rub, grind: see MORDANT] a layer of humus, usually matted or compact, that accumulates on the surface of moist, cool soil

**Mor** *abbrev.* 1 Morocco 2 Moroccan

**MOR** *abbrev.* middle-of-the-road: used variously in radio broadcasting to designate styles of popular music that are not extreme

**mora** (mōr'a, mōr'ə) *n., pl. -rae* (-ē) or *-ras* [L, delay: see MERIT] 1 *Linguis.* an arbitrary unit of syllabic length 2 *Prosody* the unit of metrical time, equal to the ordinary short syllable, usually indicated by a breve (˘)

manners or customs *<* *mos* (gen. *moris*), pl. *mores*, maxims (see MOOD): used by CICERO<sup>2</sup> as transl. of Gr. *ethikē* 2 to, dealing with, or capable of making the distinction between right and wrong in conduct 2 relating to, serving to, teaching, or illustrating the principles of right and wrong 3 good conduct or character; sometimes, specif., virtuous conduct 4 designating support, etc. that involves appropriate conduct without action 5 being virtually such because of thoughts, attitudes, etc., or because of its general result in victory 6 based on strong probability (a *moral* certainty) 7 on the principle of right conduct rather than legal obligation 8 Law based on general observation of past conduct, etc. rather than on what is demonstrable (moral evidence) 9 a moral implication or moral lesson taught by a fable or the conclusion of a fable or story containing a moral (moral principles, standards, or habits with respect to right conduct; ethics; sometimes, specif., standards of sexual conduct) 4 [Rare] MORALE — **mor-ally** *adv.*

**SYN.** — **moral** implies conformity with the generally accepted standards of goodness or rightness in conduct or character; sometimes, specif., in sexual conduct (a *moral* person implies conformity with an elaborated ideal code of moral principles; sometimes, specif., with the code of a particular religion) (an *ethical* lawyer, virtuous implies a morally upright character, connoting justice, integrity, and often specificity; *righteous* implies a being morally blameless or just (righteous anger)) — **ANT.** — **immoral**

**mo-rale** (mō'ral, mō-) *n.* [Fr. fem. of *moral* *<* L *moralis*] 1 moral or mental condition with respect to courage, confidence, enthusiasm, willingness to endure hardship within a group, in relation to a group, or within an individual [Rare] MORALITY

**moral hazard** risk (to an insurance company) arising from possible dishonesty or imprudence of the insured

**mor-al-ism** (mōr'al iz'əm, mār'-) *n.* 1 moral teaching 2 a moral maxim 3 belief in or practice of a system of morals from religion

**mor-al-ist** (-ist) *n.* 1 a teacher of or writer on morals 2 moralizes 2 a person who adheres to a system of morals 3 a person who seeks to impose personal morals on others

**mor-al-is-tic** (mōr'al is'tik, mār'-) *adj.* 1 moralizing 2 of or moralists — **mor-al-is-ti-cally** *adv.*

**mor-al-ity** (mō'ral'itē, mō-, mō-) *n., pl. -ties* [ME *moralitas* *<* L *moralis*] 1 moral quality, or character, or wrongness, as of an action 2 the character of behavior with the principles or standards of right conduct 3 sometimes, specif., virtue in sexual conduct 4 principles of right and wrong in conduct; ethics 4 a particular system of morals 5 moral instruction or a moral lesson 6 a narrow moral lesson 7 MORALITY PLAY

**morality play** any of a class of allegorical dramas of the 16th cent., the characters of which personify abstract concepts, as Vice, Virtue, etc.

**mor-al-ize** (mōr'al iz', mār'-) *vi.* -ized, -iz'ing [Fr. *moraliser* *<* L *moralis*] to think, write, or speak about right and wrong, often in a self-righteous or tedious way 4 to interpret or explain in terms of right and wrong 5 to draw the moral in or draw a moral from 2 to improve the character of — **mor-al-iz'a-tion** *n.* — **mor-al-iz'er** *n.*

**moral philosophy** ETHICS

**mo-rass** (mō'ras', mō-) *n.* [Du *moeras*, a marshy tract of low, soft, watery ground; bog; marsh; swamp; figuratively of a difficult, troublesome, or perplexing affair] affairs

**mora-to-ri-um** (mōr'a tōr'ē əm) *n., pl. -ri-ums* or *-ria* [neut. of LL *moratorium*, delaying *<* L *morari*, to delay: see MERIT] 1 a legal authorization, usually by a government, to delay payment of money due, as in an emergency, to delay payment of money due, as in an emergency 2 the effective period of such an authorization 3 any authorized delay or stopping of some specified activity

**mora-tory** (mōr'a tōr'ē) *adj.* [LL *moratorium*: see previous] postponing; esp., designating or of a law authorizing postponement

**Mio-rava** (mōr'ə vā) 1 *Czech name for MORAVIA* 2 a river flowing south along the Austrian border, into the Danube (370 km) 3 river in E Yugoslavia, flowing into the Danube (134 mi (216 km))

**Mo-ra-via** (mō'rá'və, mō'rá'vya), Al-bérto (al'bérto) *Pincherle* 1907-90; It. writer

**Mo-ra-via** (mō'rá'və, mō-) historical region in E Czech (formerly in Czechoslovakia): see BOHEMIA, map

**Mora-vian** (-ən) *adj.* 1 of Moravia or its people or culture 2 the religious sect of Moravians — *n.* 1 a person born in Moravia 2 the variety of Czech spoken in Moravia 3 a Protestant sect founded in Saxony (c. 1722) by Jan Hus from Moravia

\***mo-ray** (mō'rā; mō'rā', mō-) *n.* [Port *moreia* *<* *lyguria*]

**mo-ras** (mō'rās, mō'rās', mō-) *n.* [Port *moreia* *<* *lyguria*] 1 of, having, or showing an unwelcome or gloomy matters 3 *gru-* details of a story 4 of diseases

**mo-ras** (mō'rās, mō'rās', mō-) *n.* [Port *moreia* *<* *lyguria*] 1 of, having, or showing an unwelcome or gloomy matters 3 *gru-* details of a story 4 of diseases

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Donald Gilbert Carpenter      **Examiner:** N. Ponomarenko  
**Application No.:** 09/935,936  
**Filed:** August 23, 2001      **Art Unit:** 2834  
**For:** ENERGY CONVERSION TECHNIQUE

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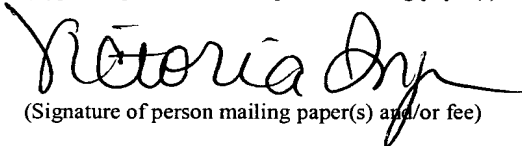
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Filing Date	August 23, 2001
First Named Inventor	D.G. Carpenter
Art Unit	2834
Examiner Name	N. Ponomarenko
Attorney Docket Number	021215/S

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Printed name	John P. Sinnott		
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